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**TO: Attn: Examiner Jessica Roark  
Assistant Commissioner for Patents  
Washington, D.C. 20231**

**FAX NO.: 1-703-746-5174**

**DATE: October 12, 2001**

**FROM: Nikolaos C. George**

**PAGES: 18 (including cover page)**

**OUR REF.: 7853-215**

**We are transmitting herewith papers in connection with:**

**PATENT APPLICATION OF: Richard Kroczek**

**Application No.: 09/509,283**

**Group Art Unit: 1644**

**Filed: August 11, 2000**

**Examiner: J. Roark**

**For: ANTI-HUMAN T-CELL COSTIMULATING  
POLYPEPTIDE MONOCLONAL ANTIBODIES**

**Attorney Docket No.: 7853-215**

**PAPERS TRANSMITTED:**


1. Supplemental Information Disclosure Statement
2. List of References Cited By Applicant
3. Copy of References
4. Copy of Certificate Limited Recognition Under 37 C.F.R. § 10.9(b)

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Kroczeck, R.

Group Art Unit: 1644

Serial No.: 09/509,283

Examiner: J. Roark

Filed: August 11, 2000

Attorney Docket No.: 7853-215

For: ANTI-HUMAN T-CELL COSTIMULATING  
POLYPEPTIDE MONOCLONAL ANTIBODIESSUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56 and § 1.97Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all references coming to the attention of Applicant or Attorneys for Applicant which are or may be material to the patentability of any claim of the subject application, Attorneys for Applicant hereby direct the Examiner's attention to the references listed on the attached revised form PTO 1449. Copies of these references are submitted herewith.

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Identification of the listed reference is not to be construed as an admission of Applicant or Attorneys for Applicant that the reference is available as "prior art" against the subject application. Consequently, Applicant respectfully declines to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicant submits herewith a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited."

Applicant respectfully requests that the Examiner review the foregoing reference and that the reference be made of record in the file history of the application.

This Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits; therefore the fee required for filing this Supplemental Information Disclosure Statement is estimated to be \$0.00. If it is determined that a fee is required, please charge any required fee to Pennie & Edmonds LLP deposit account no. 16-1150.

Respectfully submitted,

Date October 12, 2001

Laura A. Coruzzi 30,742  
Laura A. Coruzzi (Reg. No.)

By: Muna Abu-Shaar  
Muna Abu-Shaar  
Limited Recognition Under 37 C.F.R. § 10.9(b)  
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Enclosure

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**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE**

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Ms. Muna Abu-Sha'ar is hereby given limited recognition under 37 CFR §10.9(b) as an employee of Pennie & Edmonds, LLP to prepare and prosecute patent applications wherein the patent applicant is the client of Pennie & Edmonds, LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of Pennie & Edmonds, LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Ms. Muna Abu-Sha'ar ceases to lawfully reside in the United States, (ii) Ms. Muna Abu-Sha'ar's employment with Pennie & Edmonds, LLP ceases or is terminated, or (iii) Ms. Muna Abu-Sha'ar ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: November 29, 2001**

  
Harry Moatz, Director  
Office of Enrollment and Discipline